

# GEOMETRY AS A SEMICLASSICAL EFFECT IN A QUANTUM WORLD - EMERGENT GRAVITY FROM MATRIX MODELS

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# MOTIVATION

→ incompatibility between GR and QFT

$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = \langle T_{\mu\nu} \rangle$$

lhs: classical Einstein tensor, rhs: ev of an operator

→ natural limit in experimental length resolution:  
better length resolution requires higher energy,  
energy required for resolution of the Planck length  
has a Schwarzschild radius of the Planck length

$$\Delta x_{\mu} \simeq \lambda_p = \sqrt{\frac{G\hbar}{c^3}} \simeq 10^{-33} \text{cm}$$

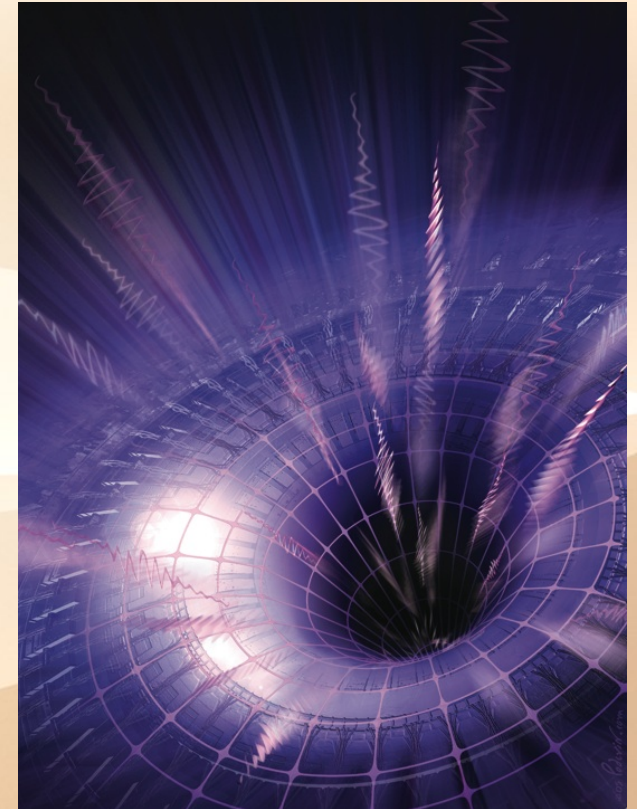


Image source:  
<http://web.physics.ucsb.edu/~giddings/sbgw/physics.html>

## How to define a "non-commutative" space?

Geometric space



commutative C\*-algebra  
(according to Gel'fand-Naimark theorem)

Non-commutative space



Non-commutative C\*-algebra (A. Connes 1994)

# WEYL QUANTIZATION

assume non-commuting space-time coordinates:

$$[\hat{x}^\mu, \hat{x}^\nu] = i\theta^{\mu\nu}, \quad \Rightarrow \text{leads to uncertainty relation} \quad \Delta x^\mu \Delta x^\nu \geq \frac{1}{2} |\theta^{\mu\nu}| \sim (\lambda_p)^2$$

exists isomorphism mapping between NC algebra and commutative one,  
e.g. Weyl map

$$W : \mathcal{A} \rightarrow \hat{\mathcal{A}}, \quad x^i \mapsto \hat{x}^i$$

introduce a functions Weyl operator by

$\hat{\mathcal{W}}[f] := \int d^D x f(x) \hat{\Delta}(x),$	$\hat{\Delta}(x) = \int \frac{d^D k}{(2\pi)^D} e^{ik_\mu \hat{x}^\mu} e^{-ik_\mu x^\mu},$
$f(x) = \text{Tr} \left( \hat{\mathcal{W}}[f] \hat{\Delta}(x) \right),$	$\text{Tr} \hat{\mathcal{W}}[f] = \int d^D x f(x)$

define derivation operator by  $[\hat{\partial}_\mu, \hat{x}^\nu] = \delta_\mu^\nu, \quad [\hat{\partial}_\mu, \hat{\mathcal{W}}[f]] = \hat{\mathcal{W}}[\partial_\mu f]$

$$\hat{\mathcal{W}}[f] \hat{\mathcal{W}}[g] = \hat{\mathcal{W}}[f \star g]$$

# GROENEWOLD-MOYAL SPACE

definition of the Groenewold-Moyal \*-product:

$$\begin{aligned}
 f(x) \star g(x) &= \iint \frac{d^D k}{(2\pi)^D} \frac{d^D k'}{(2\pi)^D} \tilde{f}(k) \tilde{g}(k') e^{-\frac{i}{2} k_\mu \theta^{\mu\nu} k'_\nu} e^{-i(k_\mu + k'_\mu)x^\mu} \\
 &= e^{\frac{i}{2} \theta^{\mu\nu} \partial_\mu^x \partial_\nu^y} f(x) g(y) \Big|_{x=y} \neq g(x) \star f(x)
 \end{aligned}$$

... or with more fields:

$$f_1(x) \star \cdots \star f_m(x) = \iiint \frac{d^D k_1}{(2\pi)^D} \cdots \frac{d^D k_m}{(2\pi)^D} e^{i \sum_{i=1}^m k_i x} \tilde{f}_1(k_1) \cdots \tilde{f}_m(k_m) e^{-\frac{i}{2} \sum_{i < j}^m k_i \theta k_j}$$

invariance under cyclic permutations of the integral

$$\int d^D x f(x) \star g(x) \star h(x) = \int d^D x h(x) \star f(x) \star g(x)$$

and

$$\frac{\delta}{\delta f_1(y)} \int d^D x (f_1 \star f_2 \star \cdots \star f_m)(x) = (f_2 \star \cdots \star f_m)(y)$$

# QFT ON DEFORMED SPACE-TIME

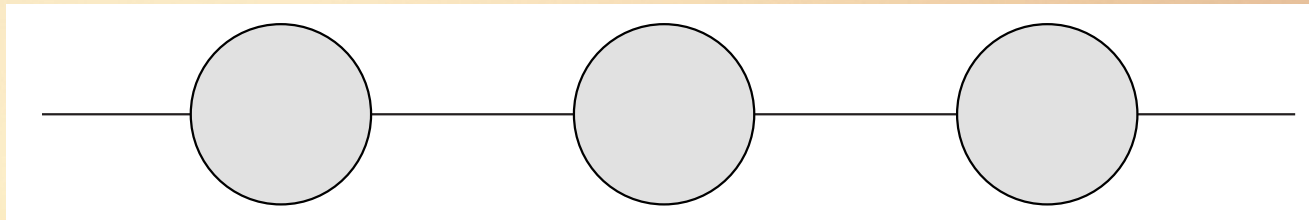
For a field theory in Euclidean space this means:  
interaction vertices gain phases, whereas propagators remain unchanged, e.g.:

$$\begin{aligned} S &= \text{Tr} \left( \frac{1}{2} [\hat{\partial}_\mu, \hat{\mathcal{W}}[\phi]]^2 + \frac{m^2}{2} \hat{\mathcal{W}}[\phi]^2 + \frac{\lambda^2}{4!} \hat{\mathcal{W}}[\phi]^4 \right) \\ &= \int d^4x \left( \frac{1}{2} \partial_\mu \phi \star \partial^\mu \phi + \frac{m^2}{2} \phi \star \phi + \frac{\lambda}{4!} \phi \star \phi \star \phi \star \phi \right) \end{aligned}$$

and some Feynman integrals ("non-planar diagrams") have phases which act as UV-regulators

$$\frac{1}{4} \int d^4k \frac{e^{ik\theta p}}{k^2 + m^2} = \sqrt{\frac{m^2}{(\theta p)^2}} K_1\left(\sqrt{m^2(\theta p)^2}\right) \approx \frac{1}{(\theta p)^2} + c.m^2 \ln(\theta p)^2$$

⇒ **origin of the UV/IR mixing problem**



# GAUGE FIELDS ON THETA-DEFORMED SPACES

Star commutator of two Lie algebra valued functions:

$$[\alpha \star \beta] = \frac{1}{2}\{\alpha^a \star \beta^b\}[T^a, T^b] + \frac{1}{2}[\alpha^a \star \beta^b]\{T^a, T^b\}$$

➡ *must always consider enveloping algebras, such as  $U(N)$ ,  $O(N)$  or  $USp(2N)$*

Non-commutative Yang-Mills action:

$$\begin{aligned} S &= \frac{1}{4} \text{Tr} \left( [\hat{\partial}_\mu, \hat{\mathcal{W}}[A]_\nu] - [\hat{\partial}_\nu, \hat{\mathcal{W}}[A]_\mu] - ig[\hat{\mathcal{W}}[A]_\mu, \hat{\mathcal{W}}[A]_\nu] \right)^2 \\ &= \frac{1}{4} \int d^D x \text{tr}_N (F_{\mu\nu}(x) \star F^{\mu\nu}(x)) , \\ F_{\mu\nu} &= \partial_\mu A_\nu - \partial_\nu A_\mu - \underline{ig[A_\mu \star A_\nu]} \end{aligned}$$

It is invariant under the infinitesimal gauge transformations

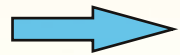
$$\begin{aligned} \delta_\alpha A_\mu(x) &= D_\mu \alpha(x) = \partial_\mu A(x) - ig[A_\mu(x) \star \alpha(x)] , \\ \delta_\alpha F_{\mu\nu}(x) &= -ig[F_{\mu\nu}(x) \star \alpha(x)] \end{aligned}$$

# COVARIANT COORDINATES & MATRIX MODELS

star gauge transformation of a scalar field:

$$\phi(x) \rightarrow u(x) \star \phi(x) \star u(x)^\dagger$$

In contrast to the commutative case,  $x^\mu \star \phi(x)$  does not transform covariantly.



define "covariant" coordinates:

$$\tilde{X}_\mu := \tilde{x}_\mu + gA_\mu, \quad \tilde{x}_\mu := \theta_{\mu\nu}^{-1} x^\nu$$

$$\tilde{X}_\mu \rightarrow u(x) \star \tilde{X}_\mu \star u(x)^\dagger,$$

$$\tilde{X}_\mu \phi(x) \rightarrow u(x) \star \tilde{X}_\mu \phi(x) \star u(x)^\dagger$$

$$[x^\mu \star, f(x)] = i\theta^{\mu\nu} \partial_\nu f(x),$$

$$i [\tilde{X}_\mu \star, \tilde{X}_\nu] = \theta_{\mu\nu}^{-1} - gF_{\mu\nu}$$

NC Yang-Mills action: 
$$\int d^4x F_{\mu\nu} \star F^{\mu\nu} \rightarrow -\frac{1}{g^2} \int d^4x [\tilde{X}_\mu \star, \tilde{X}_\nu] \star [\tilde{X}^\mu \star, \tilde{X}^\nu]$$

Yang-Mills matrix model: 
$$S_{YM} = -\text{Tr}[X^a, X^b][X^c, X^d]\eta_{ac}\eta_{bd}$$

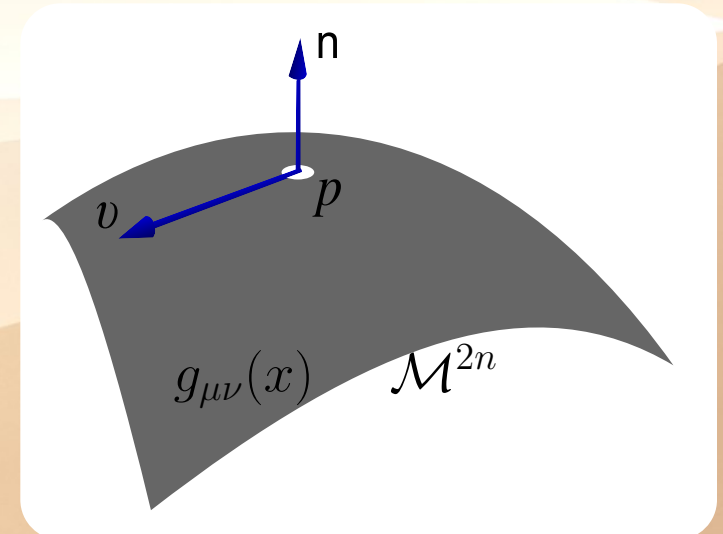
# EMERGENT GRAVITY FROM MATRIX MODELS

$$S_{YM} = -\text{Tr}[X^a, X^b][X^c, X^d]\eta_{ac}\eta_{bd}$$

$X^a = (X^\mu, \Phi^i)$ ,  $\mu = 1, \dots, 2n$ ,  $i = 1, \dots, D - 2n$ ,  
 so that  $\Phi^i(X) \sim \phi^i(x)$  define embedding  $\mathcal{M}^{2n} \hookrightarrow \mathbf{R}^D$   
 $g_{\mu\nu}(x) = \partial_\mu x^a \partial_\nu x^b \eta_{ab}$  (in semi-classical limit)

$$G^{\mu\nu} = e^{-\sigma} \theta^{\mu\rho} \theta^{\nu\sigma} g_{\rho\sigma}, \quad e^{-\sigma} \equiv \frac{\sqrt{\det \theta_{\mu\nu}^{-1}}}{\sqrt{\det G_{\rho\sigma}}}$$

$$\begin{aligned} S[\phi] &= -\text{Tr}[X^a, \phi][X^c, \phi]\eta_{ac} \\ &\sim \int d^4x \sqrt{\det \theta_{\mu\nu}^{-1}} \{x^a, \phi\}_{\text{PB}} \{x^c, \phi\}_{\text{PB}} \eta_{ac} \\ &= \int d^4x \sqrt{\det \theta_{\mu\nu}^{-1}} \theta^{\mu\nu} \partial_\mu x^a \partial_\nu \phi \theta^{\rho\sigma} \partial_\rho x^c \partial_\sigma \phi \eta_{ac} \\ &= \int d^4x \sqrt{\det G_{\mu\nu}} G^{\nu\sigma} \partial_\nu \phi \partial_\sigma \phi \end{aligned}$$



(cf. *Class.Quant.Grav.* **27** (2010) 133001)



# INTRODUCING THE IKKT MODEL

$$S_{\text{IKKT}} = \text{Tr} \left( [X^a, X^b] [X_a, X_b] + \bar{\Psi} \not{D} \Psi \right) ,$$
$$\not{D} \Psi := \gamma_a [X^a, \Psi] , \quad \{\gamma_a, \gamma_b\} = 2\eta_{ab}$$



IKKT matrix model is supersymmetric and expected to be renormalizable  
- cf. *Nucl.Phys.* **B498** (1997) 467.

Majorana-Weyl spinor  $\Psi = \mathcal{C} \bar{\Psi}^T$ , is invariant under SUSY:

$$\delta^1 \Psi = \frac{i}{4} [X^a, X^b] [\gamma_a, \gamma_b] \epsilon , \quad \delta^1 X^a = i \bar{\epsilon} \gamma^a \Psi$$
$$\delta^2 \Psi = \xi , \quad \delta^2 X^a = 0$$

Further symmetries:

$$X^a \rightarrow U^{-1} X^a U , \quad \Psi \rightarrow U^{-1} \Psi U , \quad U \in U(\mathcal{H}) , \quad \text{gauge inv.}$$
$$X^a \rightarrow \Lambda(g)_b^a X^b , \quad \Psi_\alpha \rightarrow \tilde{\pi}(g)_\alpha^\beta \Psi_\beta , \quad g \in \widetilde{SO}(D) , \quad \text{rotations,}$$
$$X^a \rightarrow X^a + c^a \mathbb{1} , \quad c^a \in \mathbb{R} , \quad \text{translations}$$

# THE IKKT MODEL

$$S_{\text{IKKT}} = \text{Tr} \left( [X^a, X^b] [X_a, X_b] + \bar{\Psi} \gamma_a [X^a, \Psi] \right)$$

- Originally proposed as non-perturbative definition of type IIB string theory,
- Seems to provide a good candidate for quantum gravity and other fundamental interactions, i.e.

$$X^a = \begin{pmatrix} \bar{X}^\mu - \theta^{\mu\nu} A_\nu(\bar{X}^\mu) \\ \Phi^i(\bar{X}^\mu) \end{pmatrix}$$

- Here, we consider general NC brane configurations and their effective gravity in the matrix model,
- Assume soft breaking of SUSY below some scale  $\Lambda$  and compute the effective action using a Heatkernel expansion.

# THE FERMIONIC ACTION

$$S_\Psi = \text{Tr} \Psi^\dagger \not{D} \Psi = \text{Tr} \Psi^\dagger \gamma_a [X^a, \Psi]$$

$$e^{-\Gamma[X]} = \int d\Psi d\Psi^\dagger e^{-S_\Psi} = (\text{const.}) \exp\left(\frac{1}{2} \text{Tr} \log(\not{D}^2)\right)$$

$$\not{D}^2 \Psi = \gamma_a \gamma_b [X^a, [X^b, \Psi]] = (\not{D}_0^2 + V) \Psi$$

- Consider fermions coupled to NC background
- Matrices  $X^a$ : perturbations around Moyal quantum plane  
➔ introduce NC scale  $\Lambda_{\text{NC}}^4 = e^{-\sigma}$

$$[\bar{X}^\mu, \bar{X}^\nu] = i\bar{\theta}^{\mu\nu} = i\Lambda_{\text{NC}}^{-2} \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix}$$

$$X^\mu = (\bar{X}^\mu + \mathcal{A}^\mu, \phi^i) = (\bar{X}^\mu - \bar{\theta}^{\mu\nu} A_\nu, \Lambda_{\text{NC}}^2 \varphi^i)$$

# HEATKERNEL EXPANSION

Consider a Duhamel expansion:

$$\frac{1}{2} \text{Tr} \left( \log \not{D}^2 - \log \not{D}_0^2 \right) \rightarrow -\frac{1}{2} \text{Tr} \int_0^\infty \frac{d\alpha}{\alpha} \left( e^{-\alpha \not{D}^2} - e^{-\alpha \not{D}_0^2} \right) e^{-\frac{\Lambda_{\text{NC}}^4}{\alpha \Lambda^2}}$$

$$= \Lambda^4 \sum_{n \geq 0} \int d^4 x \mathcal{O} \left( \frac{(p, A, \varphi)^n}{(\Lambda, \Lambda_{\text{NC}})^n} \right)$$

In contrast to previous work, we consider a „semi-classical“ low energy regime characterized by

$$\epsilon(p) := p^2 \Lambda^2 / \Lambda_{\text{NC}}^4 \ll 1$$

Can expand UV/IR mixing terms as

$$e^{-p^2 \Lambda_{\text{NC}}^4 / \alpha} \approx \sum_{m \geq 0} a_m \epsilon(p)^m$$

Avoids pathological phenomena which would appear if  ~~$\Lambda \rightarrow \infty$~~  and  $\Lambda_{\text{NC}}$  fixed

Expansion in 3 small parameters:  $\Gamma \sim \Lambda^4 \sum_{n,l,k \geq 0} \int d^4 x \mathcal{O} \left( \epsilon(p)^n \left( \frac{p^2}{\Lambda_{\text{NC}}^2} \right)^l \left( \frac{p^2}{\Lambda^2} \right)^k \right)$

# GAUGE INVARIANCE OF EFFECTIVE NCGFT

Adding up the first 3 order contributions leads to the following order  $\Lambda^4$  terms:

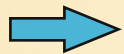
$$\begin{aligned} \Gamma_{\Lambda^4}(A, \varphi, p) = & \frac{\Lambda^4 \text{Tr} \mathbf{1}}{16 \Lambda_{\text{NC}}^4} \int \frac{d^4 x}{(2\pi)^2} \sqrt{g} \left( g^{\alpha\beta} D_\alpha \varphi^i D_\beta \varphi_i \right. \\ & - \frac{1}{2} \Lambda_{\text{NC}}^4 (\bar{\theta}^{\mu\nu} F_{\nu\mu} \bar{\theta}^{\rho\sigma} F_{\sigma\rho} + (\bar{\theta}^{\sigma\sigma'} F_{\sigma\sigma'})(F\bar{\theta}F\bar{\theta})) \\ & - 2\bar{\theta}^{\nu\mu} F_{\mu\alpha} g^{\alpha\beta} \partial_\nu \varphi^i \partial_\beta \varphi_i + \frac{1}{2} (\bar{\theta}^{\mu\nu} F_{\mu\nu}) g^{\alpha\beta} \partial_\beta \varphi^i \partial_\alpha \varphi_i \\ & \left. + \text{h.o.} \right) \end{aligned}$$



These terms are manifestly gauge invariant

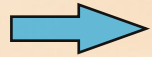
**Free contribution:**

$$\Gamma[\bar{X}] = -\frac{1}{2} \text{Tr} \int_0^\infty \frac{d\alpha}{\alpha} e^{-\alpha \not{D}_0^2 - \frac{\Lambda_{\text{NC}}^4}{\alpha \Lambda^2}} = -\frac{\Lambda^4 \text{Tr} \mathbf{1}}{8} \int \frac{d^4 x}{(2\pi)^2} \sqrt{g}$$



Along with general geometrical considerations, this suffices to predict some loop computations

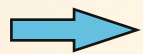
# SO(D) INVARIANCE OF GENERALIZED MM



Can reproduce gauge sector of induced result by a semi-classical analysis with vanishing embedding fields:

$$\frac{1}{\sqrt{\frac{1}{2}(\text{Tr} J^2)^2 - \text{Tr} J^4}} \Big|_{\partial\phi^i=0} \sim \frac{\Lambda_{\text{NC}}^4}{2} \left( 1 + \frac{1}{2} \bar{\theta}^{\mu\nu} F_{\mu\nu} + \frac{1}{4} (\bar{\theta} F)^2 + \frac{1}{4} (\bar{\theta} F)(F \bar{\theta} F \bar{\theta}) + \mathcal{O}(F^4) \right)$$

Effective action can be written as a generalized matrix model with manifest SO(D) symmetry.



Can be further generalized to include curvature terms, e.g.:

$$\int \frac{d^4x}{(2\pi)^2} \sqrt{g} \Lambda(x)^2 \left( R + (\bar{\Lambda}_{\text{NC}}^4 e^{-\sigma} \theta^{\mu\rho} \theta^{\eta\alpha} R_{\mu\rho\eta\alpha} - 4R) + c' \partial^\mu \sigma \partial_\mu \sigma \right)$$

Such terms appear in the semiclassical limit of higher order matrix terms.

# CONCLUSION

- Have discussed properties and problems (such as UV/IR mixing) of non-commutative quantum field theories.
- Introduced matrix models, especially the IKKT model and its properties, such as emergent gravity.
- Computed the effective fermion action, first from NC field theory, then from the matrix model point of view.
- Many interesting open questions.

# REFERENCES

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***Thank you for your attention!***